



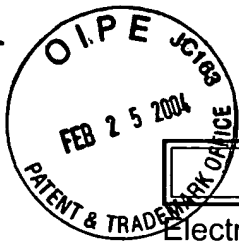
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First Named Inventor: Kevin SULLIVAN
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**TRANSMITTAL**

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| Title of Invention | MUTUAL FUND CARD METHOD AND SYSTEM | | | | | | | | | |
|--|---|----------------|---------------------------|-------------|----------------|--|-------------------|------------|--|------------|
| Application Number: 10/758262  | | | | | | | | | | |
| Date: 2004-01-16 | | | | | | | | | | |
| First Named Applicant: Kevin SULLIVAN | | | | | | | | | | |
| Confirmation Number: 5705 | | | | | | | | | | |
| Attorney Docket Number: 47004.000279 | | | | | | | | | | |
| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> | | | | | | | | | | |
| <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Herbert V. Kerner Registered Number: 42,721</td><td>Herbert V. Kerner</td><td>Attorney</td></tr></tbody></table> | | | Submitted by: | Elec. Sign. | Sign. Capacity | Herbert V. Kerner Registered Number: 42,721 | Herbert V. Kerner | Attorney | | |
| Submitted by: | Elec. Sign. | Sign. Capacity | | | | | | | | |
| Herbert V. Kerner Registered Number: 42,721 | Herbert V. Kerner | Attorney | | | | | | | | |
| <table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>IDSfor47004.279CAT3-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table> | | | Documents being submitted | Files | us-ids | IDSfor47004.279CAT3-usidst.xml | | us-ids.dtd | | us-ids.xsl |
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| Comments | | | | | | | | | | |



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention | MUTUAL FUND CARD METHOD AND SYSTEM | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|------------------------------------|------------|------------|------------|------|-------|----------|----------|------------|------|----------|------|-------|----------|--|---|---------|------------|---------|--|--|--|--|---|---------|------------|----------|--|--|--|--|---|---------|------------|---------|--|--|--|--|---|---------|------------|-------|--|--|--|--|---|---------|------------|------------|--|--|--|--|---|---------|------------|-----------|--|--|--|--|---|---------|------------|--------|--|--|--|--|---|---------|------------|-----------|--|--|--|--|---|---------|------------|----------|--|--|--|--|----|---------|------------|----------|--|--|--|--|----|---------|------------|-----------|--|--|--|
| <p>Application Number: 10/758262 </p> <p>Confirmation Number: 5705</p> <p>First Named Applicant: Kevin SULLIVAN</p> <p>Attorney Docket Number: 47004.000279</p> <p>Search string: (3946206 or 4047033 or 4582985 or 4750119 or 4831242 or 4897533 or 4978401 or 5095194 or 5117355 or 5175416 or 5180901 or 5192947 or 5202826 or 5218631 or 5326960 or 5349633 or 5365575 or 5397881 or 5424524 or 5450477 or 5459306 or 5465206 or 5466919 or 5471669 or 5477038 or 5482139 or 5483444 or 5500514 or 5530232 or 5553120 or 5578808 or 5585787 or 5637845 or 5689650 or 5703344 or 5710886 or 5721768 or 5729693 or 5765141 or 5774870 or 5777305 or 5787156 or 5787404 or 5806042 or 5835576 or 5857079 or 5857709 or 5864830 or 5870718 or 5926800).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>3946206</td><td>1976-03-23</td><td>Darjany</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>4047033</td><td>1977-09-06</td><td>Malmberg</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>4582985</td><td>1986-04-15</td><td>Lofberg</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>4750119</td><td>1988-06-07</td><td>Cohen</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>4831242</td><td>1989-05-16</td><td>Englehardt</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>4897533</td><td>1990-01-30</td><td>Lyszczarz</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>4978401</td><td>1990-12-18</td><td>Bonomi</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5095194</td><td>1992-03-10</td><td>Barbanell</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>5117355</td><td>1992-05-26</td><td>McCarthy</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>5175416</td><td>1992-12-29</td><td>Mansvelt</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>5180901</td><td>1993-01-19</td><td>Hiramatsu</td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | 1 | 3946206 | 1976-03-23 | Darjany | | | | | 2 | 4047033 | 1977-09-06 | Malmberg | | | | | 3 | 4582985 | 1986-04-15 | Lofberg | | | | | 4 | 4750119 | 1988-06-07 | Cohen | | | | | 5 | 4831242 | 1989-05-16 | Englehardt | | | | | 6 | 4897533 | 1990-01-30 | Lyszczarz | | | | | 7 | 4978401 | 1990-12-18 | Bonomi | | | | | 8 | 5095194 | 1992-03-10 | Barbanell | | | | | 9 | 5117355 | 1992-05-26 | McCarthy | | | | | 10 | 5175416 | 1992-12-29 | Mansvelt | | | | | 11 | 5180901 | 1993-01-19 | Hiramatsu | | | |
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| | 2 | 4047033 | 1977-09-06 | Malmberg | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 3 | 4582985 | 1986-04-15 | Lofberg | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 5 | 4831242 | 1989-05-16 | Englehardt | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 6 | 4897533 | 1990-01-30 | Lyszczarz | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 8 | 5095194 | 1992-03-10 | Barbanell | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 9 | 5117355 | 1992-05-26 | McCarthy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 43 | 5787404 | 1998-07-28 | Fernandez-Holmann |
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| | 49 | 5870718 | 1999-02-09 | Spector |
| | 50 | 5926800 | 1999-07-20 | Baronowski |

Signature

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| Examiner Name | Date |
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